

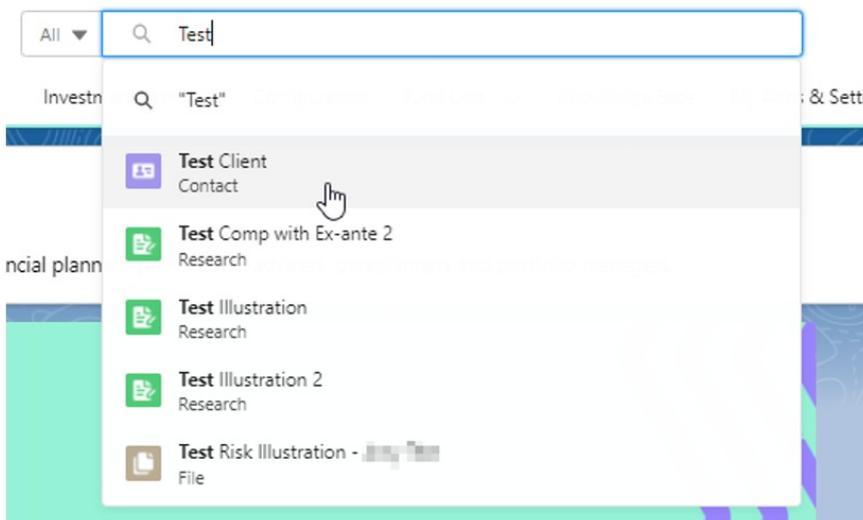
Creating an Attitude to Risk Questionnaire on behalf of your client

Last Modified on 25/05/2022 4:50 pm BST

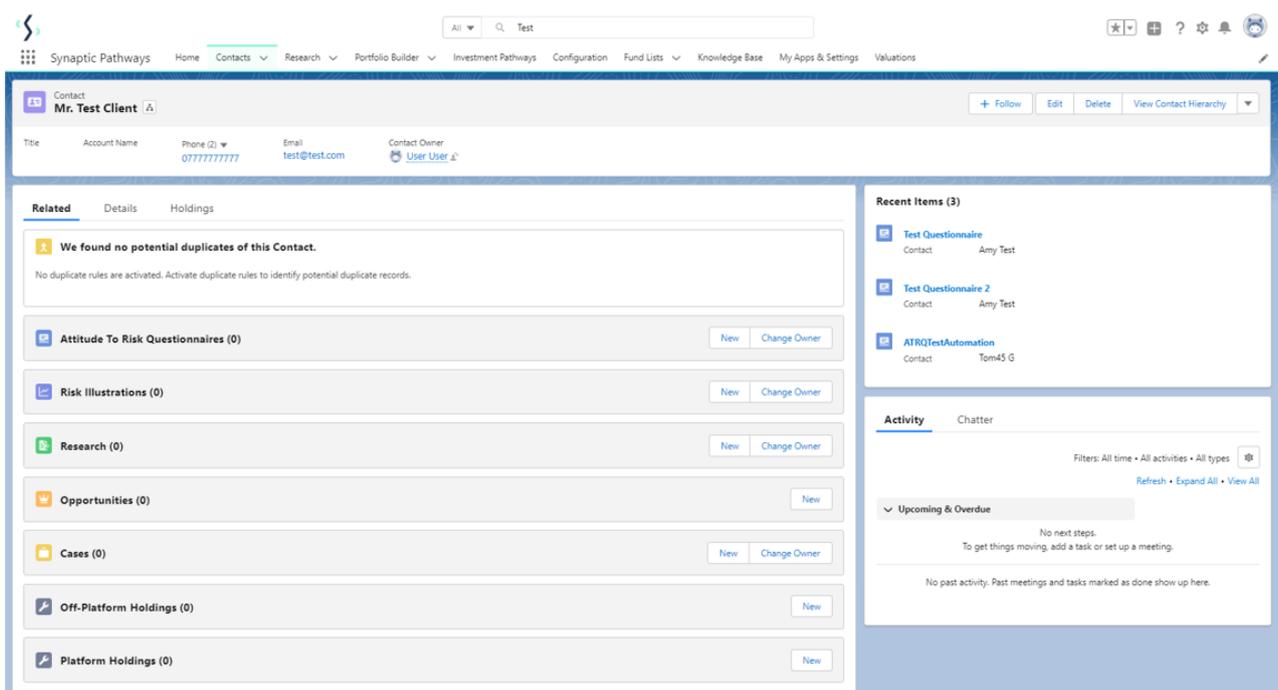
If you have completed the questionnaire with your client and would like to complete the questions manually, follow the steps below:

Create a new contact or find an existing contact record.

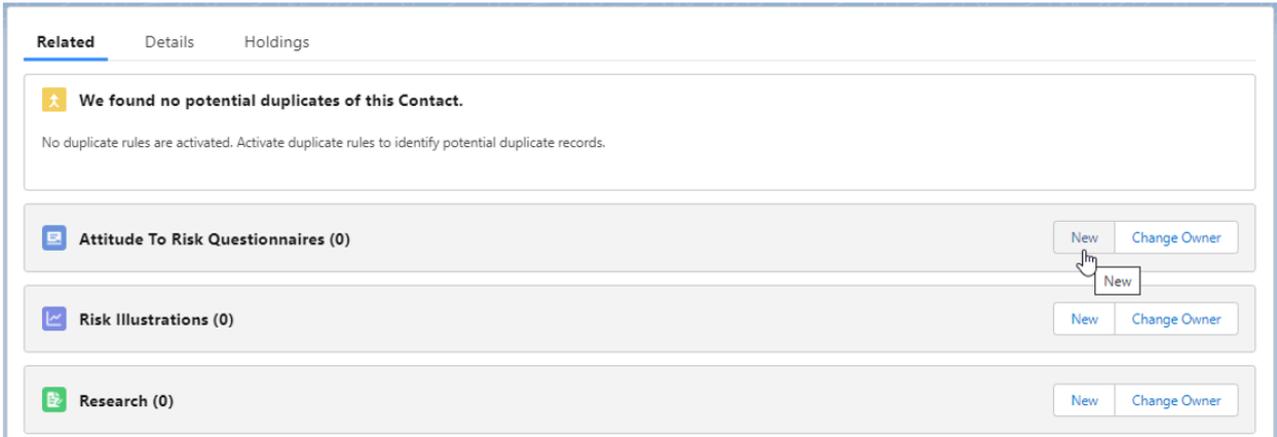
In this example, I will search for a contact called 'Test Client':



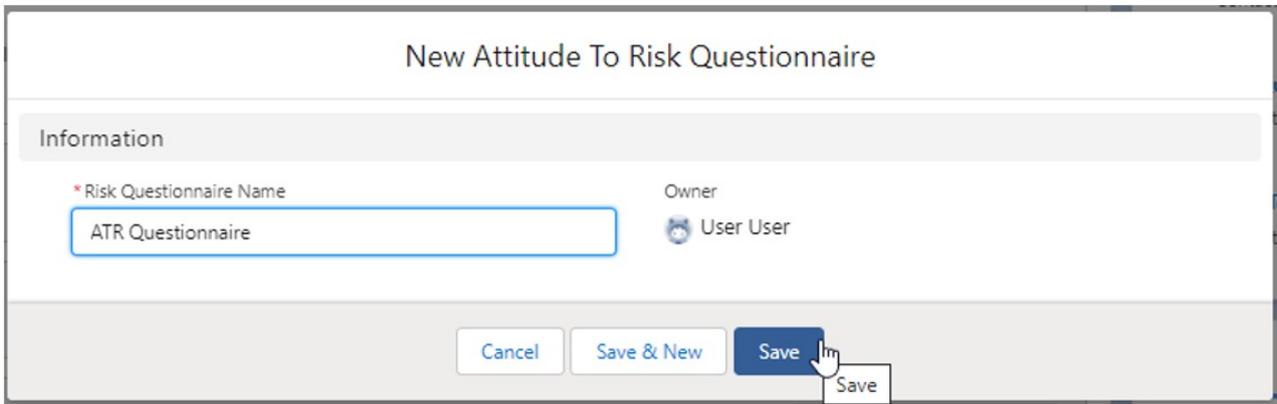
This will load up their contact record:



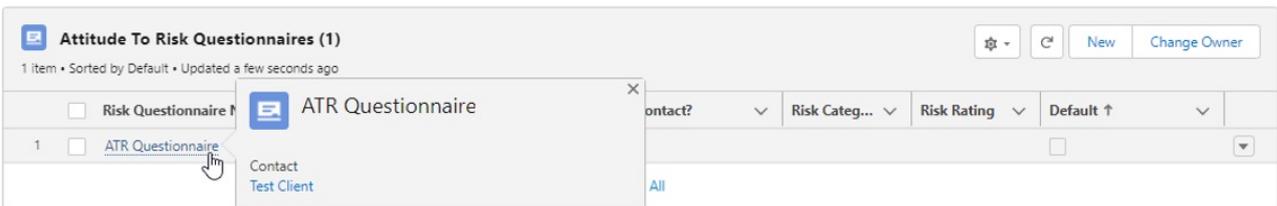
To create an attitude to risk questionnaire, click 'New' next to 'Attitude To Risk Questionnaires'



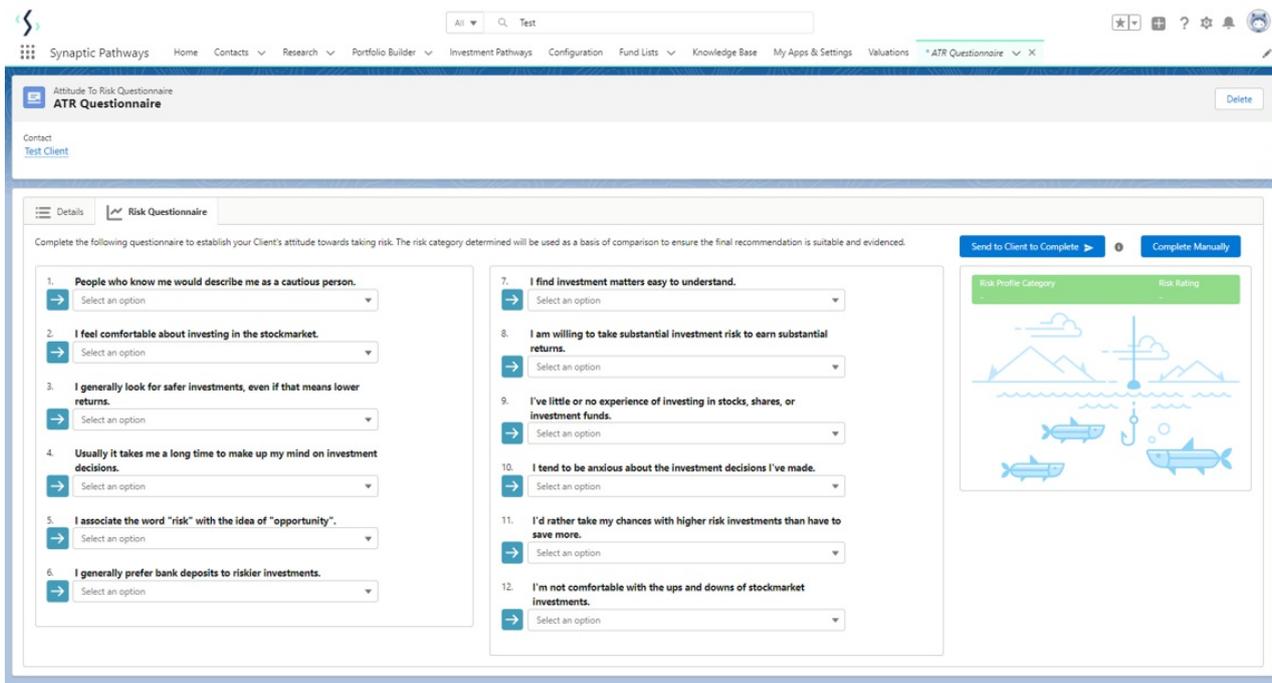
Enter the questionnaire name and click 'Save':



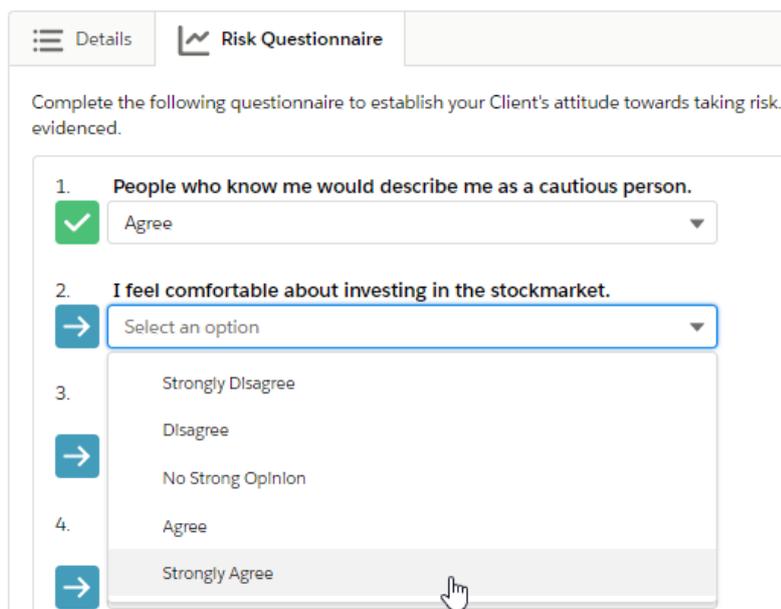
This will take you back to the contact record. To complete the questionnaire or send to the client to complete, click on the name of the questionnaire:



Complete the questions using the drop down fields:



As you answer the questions, you will see the blue arrow change to a green tick:



Once all questions are complete, the risk rating will appear on the left side of the screen.

In the example below, a sense check has flagged up to say the client has answered the questions very risk adverse relative to the average:

[Complete Manually](#)

Answer Sense Checks

Description of Sense Check

Balanced (Low End) investors typically have portfolios that involve some stockmarket investments. However, you strongly disagreed with the statement "I feel comfortable about investing in the stockmarket." You might want to consider whether this category really is right for you. You may wish to speak to an adviser to consider risk in more detail.

Risk Profile Category
Risk Rating

Balanced (Low End)
5

Risk Category Description

Balanced Investors have an attitude to risk in the middle 50% of the investing population and are neither very risk averse nor inclined to seek riskier investments. They often have some experience and understanding of investments. They can usually make investment decisions without too much hesitation or anxiety. They may find more comfort in banks accounts and lower risk investments than stocks, shares and investment funds, but understand that investment risk may be required to meet their investment goals.

Optional Compliance Notes

[Set as default for Client](#)

Also, any questions that need to be reviewed will flag up with a warning sign and a sense check:

Synaptic Pathways Home Contacts Research Portfolio Builder Investment Pathways Configuration Fund Lists Knowledge Base My Apps & Settings Valuations *ATR Questionnaire X

ATR Questionnaire **Warning** Please be noted that once you leave this page or mark this questionnaire as default the answers will be locked and you won't be able to change them. Delete

Details Risk Questionnaire Complete Manually

Complete the following questionnaire to establish your Client's attitude towards taking risk. The risk category determined will be used as a basis of comparison to ensure the final recommendation is suitable and evidenced.

1. People who know me would describe me as a cautious person. Agree
2. I feel comfortable about investing in the stockmarket. Agree
3. I generally look for safer investments, even if that means lower returns. Agree
4. Usually it takes me a long time to make up my mind on investment decisions. Agree
5. I associate the word "risk" with the idea of "opportunity". Strongly Agree
6. I generally prefer bank deposits to riskier investments. Strongly Agree

7. I find investment matters easy to understand. Disagree
8. I am willing to take substantial investment risk to earn substantial returns. Agree
9. I've little or no experience of investing in stocks, shares, or investment funds. Agree
10. I tend to be anxious about the investment decisions I've made. Agree
11. I'd rather take my chances with higher risk investments than have to save more. Agree
12. I'm not comfortable with the ups and downs of stockmarket investments. Agree

Answer Sense Checks

Description of Sense Check

Balanced (Low End) investors typically have portfolios that involve some higher risk investments rather than bank deposits. However, you strongly agreed with the statement "I generally prefer bank deposits to riskier investments." You might want to consider whether this category really is right for you. You may wish to speak to an adviser to consider risk in more detail.

Risk Profile Category	Risk Rating
Balanced (Low End)	5

Risk Category Description

Balanced investors have an attitude to risk in the middle 50% of the investing population and are neither very risk averse nor inclined to seek riskier investments. They often have some experience and understanding of investments. They can usually make investment decisions without too much hesitation or anxiety. They may find more comfort in banks accounts and lower risk investments than stocks, shares and investment funds, but understand that investment risk may be required to meet their investment goals.

Optional Compliance Notes

Optional Compliance Notes can be added here:

Complete Manually



Answer Sense Checks

Description of Sense Check

Balanced (Low End) investors typically have portfolios that involve some stockmarket investments. However, you strongly disagreed with the statement "I feel comfortable about investing in the stockmarket." You might want to consider whether this category really is right for you. You may wish to speak to an adviser to consider risk in more detail.

Risk Profile Category

Balanced (Low End)

Risk Rating

5

Risk Category Description

Balanced Investors have an attitude to risk in the middle 50% of the investing population and are neither very risk averse nor inclined to seek riskier investments. They often have some experience and understanding of investments. They can usually make investment decisions without too much hesitation or anxiety. They may find more comfort in banks accounts and lower risk investments than stocks, shares and investment funds, but understand that investment risk may be required to meet their investment goals.

Optional Compliance Notes

Set as default for Client

When the questionnaire is complete, click 'Set as default for contact' to mark as complete. This will ensure the risk rating pulls through to any illustration you create for the client:

Details Risk Questionnaire

Complete the following questionnaire to establish your Client's attitude towards taking risk. The risk category determined will be used as a basis of comparison to ensure the final recommendation is suitable and evidenced.

Complete Manually

Risk Profile Category	Risk Rating
Balanced (Low End)	5

Risk Category Description

Balanced investors have an attitude to risk in the middle 50% of the investing population and are neither very risk averse nor inclined to seek riskier investments. They often have some experience and understanding of investments. They can usually make investment decisions without too much hesitation or anxiety. They may find more comfort in banks accounts and lower risk investments than stocks, shares and investment funds, but understand that investment risk may be required to meet their investment goals.

Optional Compliance Notes

Test Compliance Notes for Test Client

Set as default for Client

Set as default for Client

1. People who know me would describe me as a cautious person. Agree
2. I feel comfortable about investing in the stockmarket. Disagree
3. I generally look for safer investments, even if that means lower returns. Agree
4. Usually it takes me a long time to make up my mind on investment decisions. Agree
5. I associate the word "risk" with the idea of "opportunity". Disagree
6. I generally prefer bank deposits to riskier investments. Agree
7. I find investment matters easy to understand. Agree
8. I am willing to take substantial investment risk to earn substantial returns. Disagree
9. I've little or no experience of investing in stocks, shares, or investment funds. Disagree
10. I tend to be anxious about the investment decisions I've made. Agree
11. I'd rather take my chances with higher risk investments than have to save more. Disagree
12. I'm not comfortable with the ups and downs of stockmarket investments. No Strong Opinion

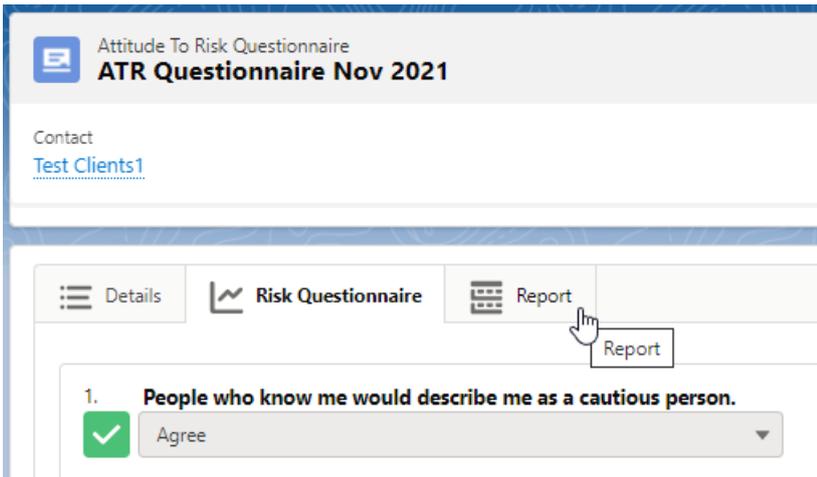


Success!

Questionnaire is set as default for the client

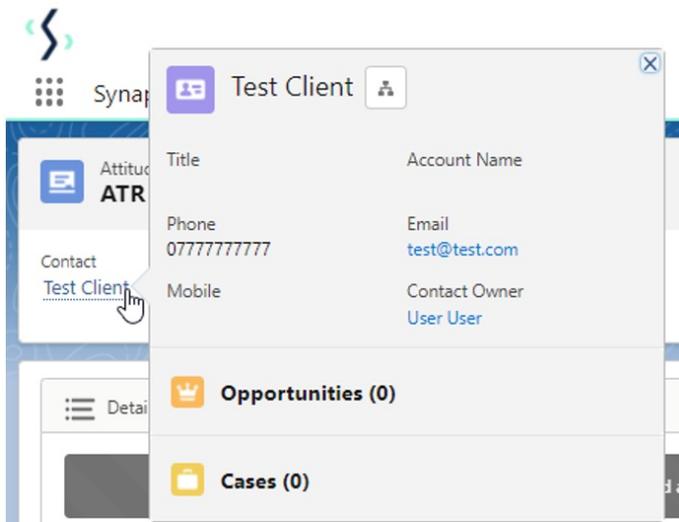


Once set to default, the Report tab will appear:



See article -[Attitude to Risk Questionnaire Report](#)

Once done, navigate back to the contact record:



The questionnaire now shows as complete and the default is set. The completed by contact is set to 'No' as it was manually entered and not completed by the client:

<input type="checkbox"/>	Risk Questionnaire Name	Completed by C...	Risk Category	Risk Rating	Default ↑	
1	ATR Questionnaire	No	Balanced (Low End)	5	<input type="checkbox"/>	

[View All](#)