## **Basis of Illustration - Mark** Wrapper as Reviewed - CIP

Once all tabs have been reviewed and the Capacity for Loss statement has been completed, you can check the box next to the Investment:

| Select a wrap<br>capacity for<br>compliance a | <b>w</b><br>per to review the results, complete the<br>oss statement and add commentary for<br>nd reporting. |
|---|--|
| Mark as rev<br>item.                          | ewed by selecting the checkbox for each  |
|   |  |
| 7İI   | 7IM Platform   |
| 🖂 Ind   | ividual Savings Accounts   |
| Product<br>ISA (Stocks                        | and Shares)  |
| R.I.Y.  | Final Value  |
| 0.46%   | £61,734.86   |
| 💌 Se  | f Invested Personal Pension  |
| Product<br>7IM SIPP                           |  |
| R.I.Y.  | Final Value  |
| 0.06%   | £359,942.28  |
| <u></u>                                       |  |

| S  | Q Search                                    | n Configuration Fund Lie | tr. s.c. Knowledne Rare               | My Appr & Sattings     | Valuations | *   | 🖻 🖩 🎕 ? 🌣 🖡 🐻             |
|--|---|--------------------------|---------------------------------------|------------------------|------------|---|---------------------------|
| Research<br>Test Illustration Apr22  |   | s congourn role is       |                                       |                        |            |   | Convert to Holding        |
| Research   | Review                                      |                          | Client Check-In                       |                        | R          | leport  | ✓ Mark Status as Complete |
| Review Select a wrapper to review the results, complete the                    | Results Zochastic Forecast                  | Deterministic Forecast   | A special deal is a Capacity for Loss | available and has been | applied.   | Ompliance Details   | Report Content            |
| capacity for loss statement and add commentary for<br>compliance and reporting | Recommendation Summary Salesforce Sans   12 | B I ⊻ ⊖ ≔ 5              | *# *# III II II                       | T <sub>x</sub>         |            | Outcome Summary<br>Total Invested During Term<br>£45,712.24   |                           |
| 71M Platform   |   |                          |                                       |                        |            | Expected Outcome (Stochastic)<br>£59,672.13 or 65.41% Growth<br>Final Value (Calculated)<br>£61,734.86 or 35.05% Growth |                           |
| Individual Savings Accounts Product ISA (Stocks and Shares)                    | Target Portfolio Summary                    |                          |                                       |                        |            | Overall R.I.Y.<br>0.46%   |                           |
| R.I.V. Final Value<br>0.46% £61,734.86   | Salesforce Sans 💌 12 💌                      | B I <u>U</u> ↔  ≝        | *# *# <u>E</u> <u>=</u> <u>=</u>      | Is                     |            | Test ISA Description  |                           |
| Self Invested Personal Pension Product 7IM SIPP 81V Final Value                |   |                          |                                       |                        |            |   |                           |
| n.t.t. rinal Value<br>0.06% £359,942.28  |   |                          |                                       |                        |            |   |                           |
| <u>~</u>   |   | Back                     | e to Check-In                         |                        |            |   |                           |

If this is the only investment, you can proceed to the Check-In stage.

If you have multiple investments, you will need to review each investment separately:

| ✓ >   | Basis of Illustration          |                   | Client Check-In                     |                    | Report   | <ul> <li>Mark Status as Comp</li> </ul> |
|---|--------------------------------|-------------------|-------------------------------------|--------------------|--|---|
|   | Results Deterministic Forecast | Capacity for Loss | 📩 Suitability 🛛 🖳 Ex-ante Statement | Compliance Details | Report Content   |   |
| Review  |                                |                   |                                     |                    |  |   |
| Select a wrapper to review the results, complete the<br>capacity for loss statement and add commentary for<br>compliance and reporting. | Recommendation Summary         |                   |                                     |                    | Outcome Summary  |   |
| Mark as reviewed by selecting the checkbox for each   | Salesforce Sans 💌 12           | ▼ B I ⊻ ⊖         |                                     |                    | £28,000.00   |   |
| item.   | Test Recommendation Summary    |                   |                                     |                    | Expected Outcome (Stochastic)<br>£31,737.82 or 13.35% Growth |   |
| Platform  |                                |                   |                                     |                    | Final Value (Calculated)<br>£33.758.50 or 20.57% Growth      |   |
| JAITIES HAT<br>PARTNERSHIP James Hay Modular iPlan  |                                |                   |                                     |                    | Overall R.I.Y.   |   |
| Individual Savings Accounts   | Target Portfolio Summary       |                   |                                     |                    | 2.28%  |   |
| Modular Stocks & Shares ISA   |                                |                   |                                     |                    | Objective Summary  |   |
| R.I.Y. Final Value<br>2.28% £33,758.50  | Salesforce Sans 💌 12           | ▼ B I ⊻ 5         |                                     |                    |  |   |
|   | Test Target Portfolio Summary  |                   |                                     |                    | lest ISA Objective Description                               |   |
|   |                                |                   |                                     |                    |  |   |
| Self Invested Personal Pension  |                                |                   |                                     |                    |  |   |
| Modular iSIPP   |                                |                   |                                     |                    |  |   |
| R.I.Y. Final Value<br>1.37% £314,757.92<br>+  |                                |                   |                                     |                    |  |   |
| <u>ה</u>  |                                |                   |                                     |                    |  |   |
|   |                                | Park Continue     | to Check In                         |                    |  |   |

Repeat the review process, completing the Capacity for Loss statement before marking as reviewed.

| Research   | Review                          |                        | Client Check-In                        |                   | Report   | ✓ Mark Status as Complete |
|--|---------------------------------|------------------------|--|-------------------|--|---------------------------|
|  |                                 |                        | A special deal is available and has be | en applied.       |  | ×                         |
| Review<br>Select a wrapper to review the results, complete the<br>capacity for loss statement and add commentary for | Results     Stochastic Forecast | Deterministic Forecast | 🧩 Capacity for Loss 🛛 💼 Suitability    | Ex-ante Statement |  | Report Content            |
| compliance and reporting.<br>Mark as reviewed by selecting the checkbox for each                                     | Recommendation Summary          |                        |  |                   | Outcome Summary  |                           |
| item.  | Salesforce Sans 💌 12 💌          | B I Ā Ə ≡ 1            | *12 +12 = = = Ix                       |                   | Total Invested During Term<br>£227,787.27  |                           |
| 7 <sup>im Platform</sup>   |                                 |                        |  |                   | £339,795.43 or 55.11% Growth<br>Final Value (Calculated)<br>£359,942.28 or 58.02% Growth |                           |
| Product<br>ISA (Stocks and Shares)   | Target Portfolio Summary        |                        |  |                   | 0.06%  |                           |
| R.I.Y. Final Value<br>0.46% £61,734.86   | Salesforce Sans v 12 v          | B I <u>N</u> 2 ≡ 5     | -t= ≡ ≡ <b>I</b> *                     |                   | Objective Summary<br>Test Goal Description Text  |                           |
| Self Invested Personal Pension Product   |                                 |                        |  |                   |  |                           |
| 7IM SIPP 2<br>R.I.Y. Final Value<br>0.06% £359,942.28<br>+   |                                 |                        |  |                   |  |                           |
| ÷  |                                 |                        |  |                   |  |                           |

## Review

Select a wrapper to review the results, complete the capacity for loss statement and add commentary for compliance and reporting.

Mark as reviewed by selecting the checkbox for each item.

| 7İM               | Platform<br>7IM Platform |              |
|-------------------|--------------------------|--------------|
| 🖂 Individu        | ual Savings Accounts     |              |
| Product           |                          |              |
| ISA (Stocks and S | Shares)                  |              |
| R.I.Y.            | Final Value              |              |
| 0.46%             | £61,734.86               | $\checkmark$ |
| Self Inv          | ested Personal Pension   |              |
| Product           |                          |              |
| 7IM SIPP          |                          |              |
| R.I.Y.            | Final Value              |              |
| 0.06%             | £359,942.28              |              |
| <u>-</u>          |                          | -            |

All investments have been reviewed, proceed to the **Check-in** screen.

