Portfolio Builder - Compliance Tab

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The compliance tab can be found within your model and bespoke portfolios.

Click on Portfolio Builder in the toolbar or use the search box at the top of the screen to find your portfolio:

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	Synaptic Pathways	Home	Contacts 🗸	Research 🗸	Portfolio Builder 🗸	Investment Pathways	Configuration	Fund Lists 🗸	Knowledge Base	My Apps & Settings	Valuations
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Click into the portfolio:



Compliance can be found in the tabs at the top of the portfolio, as seen below:

Portfolio Duplicate Portfolio. Duplicate Portfolio.								
E Details Portfolio Builder Compliance F F	Portfolio Facts	heet					D	
Fund Search	Fund T	able						Portfolio Summary
Enter Fund Code or Name Q. Vanguard Q. Search	•	Code	Shareclass Lock	Name	Promoter	Asset Allocation	Weighting %	Risk Profile Category Risk Rating Moderately Adventurous 4.2
Mixed Asset - Aggressive X Advanced Search	×	U7CP	6	Vanguard Diversified Growth Index ETF AUD	Vanguard Investments Australia	O	100	Minimum Gain in any 1 Year -18% or -£1,800.00
+ Utmost Vanguard LifeStrategy 60% Equity Acc GBP Utmost • Mixed Asset - Aggressive						Cash	0	Average Gain in any 1 Year 7.61% or £761.00
+ Utmost Vanguard LifeStrategy 80% Equity Acc GBP Utmost • Mixed Asset - Aggressive						Remaining allocation: Total allocation:	0% 100%	Maximum Gain in any 1 Year 32.87% or £3,287.00
Vanguard Diversified Growth Index ETF Vanguard Investments Australia • Mixed Asset - Aggre Vanguard Diversified Growth Index ETF AUD								The bar chart displays the minimum, mean and maximum gain values as a percentage for the portfolio.
Vanguard Diversified Growth Index ETF AUD Vanguard Investments Australia - Mixed Asset - Aggre + Vanguard Diversified High Growth Index ETF								Min
Vanguard Investments Australia • Mixed Asset - Aggre Vanguard Growth Index								Avg.
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Vanguard High Growth Index Vanguard Investments Australia • Mixed Asset - Aggre								-40 -20 0 20 40
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About the Fund Search The Fund Universe is sourced from FE fundinfo Limited and from a range of Fund Promoters who have authorised Synaptic Software provid(0) to distribute.								Asset class weightings for the portfolio as a percentage 68.12% - Global Equities (ex. UK) 7.76% - Clobal Equities (ex. UK)

How it Works An investment's asset allocation is used to calculate the 3 metrics make up a Synaptic risk profile, derived from the Moody's Analytics quartery is imulation (10 year term) to identify viable investment outcome. Minimum gain: Yalue of investment at 95th percentile of the distribution graph. Customers should expect a loss in region of the min gain value in 1 out of 20 years (1%). This is how we define a "bad year' in the investment jourge and as the basis of mapping investments to risk categories and consideration of client's Capacity for Loss.	folio Factsheet Compliance Summary MIFID II PROD Optional statement to describ Satesforce Sans 12 B	e the Portfolio I ⊻ ∲ ⊕ II II II E E	₹ ₹	Duplicate Portfolio Import Funds Portfolio Summary Risk Pathe Category Risk Path Hose Portfolio Summary 4.2 Minimum Gain navy 1Vez 4.2 - 18% or <1 900.00 -000.00	
How it Works An investment's asset allocation is used to calculate the 3 metrics make up a Synaptic risk profile, derived from the Moody's Analytics quartery simulation (10 year term) to identify viable investment outcome. Minimum gain: Value of investment at 95th percentile of the distribution graph. Customers should expect a loss in region of the min gain value in 1 out of 20 years (5%). This is how we define a "bad year" in the investment jourge used as the basis of mapping investments to risk categories and consideration of client's Capacity for Loss.	Compliance Summary MiFID II PROD Optional statement to describ		<u>₹</u> <u>₹</u> <u>Γ</u>	Risk Profie Category Risk Ratir Moderately Adventurous 4.2 Minimum Gain in any 1 Year	ing
An investment's asset allocation is used to calculate the 3 metrics make up 5 synaptic risk profile derived from the Moody's Analytics quarterly simulation (10 year term) to identify viable investment outcome. Minimum gain: Value of investment at 95th percentile of the distribution graph. Customers should beget al loss in region of the min gain value in 1 out of 20 years (75). This is how we define a "bid year" in the investment jume; year as a the basis of mapping investments to risk categories and consideration of client's Capacity for Loss.	MIFID II PROD Optional statement to describ		= = I.	Risk Profie Category Risk Ratir Moderately Adventurous 4.2 Minimum Gain in any 1 Year	ng
make up a Synaptic risk profile, derived from the Moody's Analytics quartery simulation (10 year term) to identify viable investment outcome. Minimum gain: Value of investment at 95th percentile of the distribution graper. Customers should expect a loss in region of the min gain value in 1 out of 20 years (5%). This is how we define a "bad year" in the instement journey used as the basis of mapping investments to risk categories and consideration of client's Capacity for Loss.			ΞΞ.I.	Moderately Adventurous 4.2 Minimum Gain in any 1 Year	ing
Maximum gain: the corollary of the Minimum gain but measured at	Efficient Frontier			- 18% or - £1,900.00 Average Gain is any 1 Year 7,61% or £761.00 Maximum Gain is any 1 Year 32,87% or £3,287.00	
the 5th percentile of the distribution graph. Useful to demonstrate the asymmetric nature of investment returns to clients. Average gain: Average expected return, annualised, over 10 year term. Useful for growth assumptions in financial planning.	0% 0%	231 % 721 %	6.33%) •	The bar chart displays the minimum, mean and mail gain values as a percentage for the portfolio. Min.	imum
	7%	6.72%		Max. -40 -20 0 20 Gain	40
	и на стана и И на стана и на стана и И на стана и			Asset class weightings for the portfolio as a perce	entage

This area allows you to add a compliance summary for the portfolio (This is an optional)

Details Portfolio Builder Compliance	Portfolio Factsheet
How it Works	Compliance Summary
An investment's asset allocation is used to calculate the 3 metrics make up a Synaptic risk profile, derived from the	MiFID II PROD Optional statement to describe the Portfolio
All dentify viable investment outcome.	Salesforce Sans \checkmark 12 \checkmark B I \bigcup \bigcirc
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Naximum gain: the corollary of the Minimum gain but neasured at the 5th percentile of the distribution graph.	Efficient Frontier
Jseful to demonstrate the asymmetric nature of nvestment returns to clients.	7%
Average gain: Average expected return, annualised, over 10 year term. Useful for growth assumptions in financial planning.	5% 5.73 %

An efficient frontier graph displays where the portfolio sits against the risk categories, as well as a portfolio summary, asset class graph & legend:

